

**MEPS HC-144C:  
2011 Other Medical Expenses  
June 2013**

**Agency for Healthcare Research and Quality  
Center for Financing, Access, and Cost Trends  
540 Gaither Road  
Rockville, MD 20850  
(301) 427-1406**

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## **A. Data Use Agreement**

Individual identifiers have been removed from the micro-data contained in these files. Nevertheless, under Sections 308 (d) and 903 (c) of the Public Health Service Act (42 U.S.C. 242m and 42 U.S.C. 299 a-1), data collected by the Agency for Healthcare Research and Quality (AHRQ) and/or the National Center for Health Statistics (NCHS) may not be used for any purpose other than for the purpose for which they were supplied; any effort to determine the identity of any reported cases is prohibited by law.

Therefore in accordance with the above referenced Federal Statute, it is understood that:

1. No one is to use the data in this data set in any way except for statistical reporting and analysis; and
2. If the identity of any person or establishment should be discovered inadvertently, then (a) no use will be made of this knowledge, (b) the Director Office of Management AHRQ will be advised of this incident, (c) the information that would identify any individual or establishment will be safeguarded or destroyed, as requested by AHRQ, and (d) no one else will be informed of the discovered identity; and
3. No one will attempt to link this data set with individually identifiable records from any data sets other than the Medical Expenditure Panel Survey or the National Health Interview Survey.

By using these data you signify your agreement to comply with the above stated statutorily based requirements with the knowledge that deliberately making a false statement in any matter within the jurisdiction of any department or agency of the Federal Government violates Title 18 part 1 Chapter 47 Section 1001 and is punishable by a fine of up to \$10,000 or up to 5 years in prison.

The Agency for Healthcare Research and Quality requests that users cite AHRQ and the Medical Expenditure Panel Survey as the data source in any publications or research based upon these data.

## **B. Background**

### **1.0 Household Component**

The Medical Expenditure Panel Survey (MEPS) provides nationally representative estimates of health care use, expenditures, sources of payment, and health insurance coverage for the U.S. civilian non-institutionalized population. The MEPS Household Component (HC) also provides estimates of respondents' health status, demographic and socio-economic characteristics, employment, access to care, and satisfaction with health care. Estimates can be produced for individuals, families, and selected population subgroups. The panel design of the survey, which includes 5 Rounds of interviews covering 2 full calendar years, provides data for examining person-level changes in selected variables such as expenditures, health insurance coverage, and health status. Using computer assisted personal interviewing (CAPI) technology, information about each household member is collected, and the survey builds on this information from interview to interview. All data for a sampled household are reported by a single household respondent.

The MEPS-HC was initiated in 1996. Each year a new panel of sample households is selected. Because the data collected are comparable to those from earlier medical expenditure surveys conducted in 1977 and 1987, it is possible to analyze long-term trends. Each annual MEPS-HC sample size is about 15,000 households. Data can be analyzed at either the person or event level. Data must be weighted to produce national estimates.

The set of households selected for each panel of the MEPS HC is a subsample of households participating in the previous year's National Health Interview Survey (NHIS) conducted by the National Center for Health Statistics. The NHIS sampling frame provides a nationally representative sample of the U.S. civilian non-institutionalized population and reflects an oversample of Blacks and Hispanics. In 2006, the NHIS implemented a new sample design, which included Asian persons in addition to households with Black and Hispanic persons in the oversampling of minority populations. MEPS further oversamples additional policy relevant subgroups such as low income households. The linkage of the MEPS to the previous year's NHIS provides additional data for longitudinal analytic purposes.

### **2.0 Medical Provider Component**

Upon completion of the household CAPI interview and obtaining permission from the household survey respondents, a sample of medical providers are contacted by telephone to obtain information that household respondents can not accurately provide. This part of the MEPS is called the Medical Provider Component (MPC) and information is collected on dates of visit, diagnosis and procedure codes, charges and payments. The Pharmacy Component (PC), a subcomponent of the MPC, does not collect charges or diagnosis and procedure codes but does collect drug detail information, including National Drug Code (NDC) and medicine name, as well as date filled and sources and amounts of payment. The MPC is not designed to yield national estimates. It is primarily used as an imputation source to supplement/replace household reported expenditure information.

### **3.0 Survey Management and Data Collection**

MEPS HC and MPC data are collected under the authority of the Public Health Service Act. Data are collected under contract with Westat, Inc. (MEPS HC) and Research Triangle Institute (MEPS MPC). Data sets and summary statistics are edited and published in accordance with the confidentiality provisions of the Public Health Service Act and the Privacy Act. The National Center for Health statistics (NCHS) provides consultation and technical assistance.

As soon as data collection and editing are completed, the MEPS survey data are released to the public in staged releases of summary reports, micro data files, and tables via the MEPS Web site: [meps.ahrq.gov](http://meps.ahrq.gov). Selected data can be analyzed through MEPSnet, an on-line interactive tool designed to give data users the capability to statistically analyze MEPS data in a menu-driven environment.

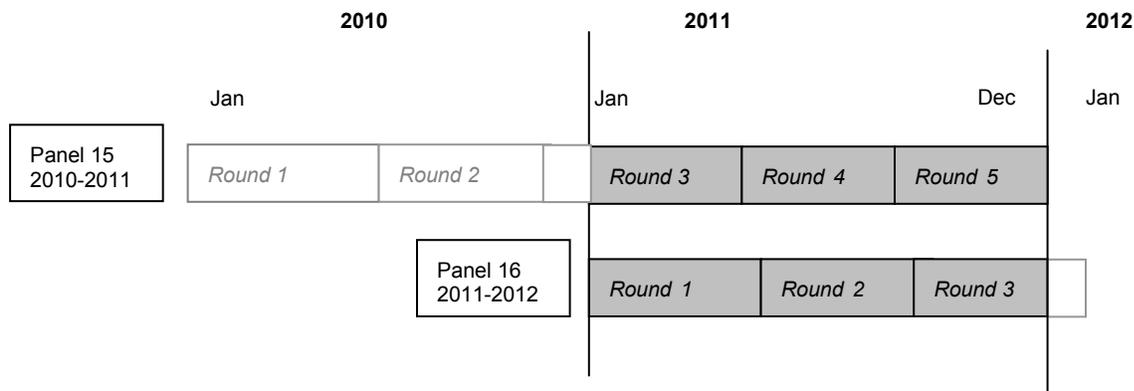
Additional information on MEPS is available from the MEPS project manager or the MEPS public use data manager at the Center for Financing, Access, and Cost Trends, Agency for Healthcare Research and Quality, 540 Gaither Road, Rockville, MD 20850 (301-427-1406).

## C. Technical and Programming Information

### 1.0 General Information

This documentation describes one in a series of public use event files from the 2011 Medical Expenditure Panel Survey (MEPS) Household Component (HC). Released as an ASCII data file (with related SAS, SPSS, and Stata programming statements) and a SAS transport file, the 2011 Other Medical Expenses public use event file provides information on the purchases of and expenditures for visual aids, medical equipment, supplies, and other medical items for a nationally representative sample of the civilian noninstitutionalized population of the United States. Data from the Other Medical event file can be used to make estimates of the Other Medical event expenditures associated with medical items for calendar year 2011. The purchase of medical equipment, supplies, and other medical items is based entirely on household reports. They were not included in the Medical Provider Component (MPC); therefore, all expenditure and payment data on the Other Medical event file are reported by the household.

This file contains 31 variables and has a logical record length of 221 with an additional 2-byte carriage return/line feed at the end of each record. As illustrated below, this file consists of MEPS survey data obtained in the 2011 portion of Round 3, and Rounds 4 and 5 for Panel 15, as well as Rounds 1, 2, and the 2011 portion of Round 3 for Panel 16 (i.e., the rounds for the MEPS panels covering calendar year 2011).



The Other Medical event file contains one record for each type of medical item reported as being purchased or otherwise obtained by a household member during the specified reference period. It should be noted that reference periods for reporting expenditures vary by type of medical item obtained. Expenditure data for visual aids are collected during Rounds 3, 4, and 5 of Panel 15 and Rounds 1, 2, and 3 of Panel 16. Therefore, each round is a reference period for purchases of visual aids. Expenditure data for other medical items, which include ambulance services, orthopedic items, hearing devices, prostheses, bathroom aides, medical equipment, disposable supplies, and home alterations, are collected only in Round 5 (Panel 15) and Round 3 (Panel 16); for these items, the reference period is the entire year. A record can represent one or more purchases of an item or service during a reference period. For example, expenditures for glasses and contact lenses are asked every round. If a respondent reported an expense of \$400 for glasses and/or contact lenses in Round 2, it is unknown if the person purchased one or more pair of

glasses and/or contact lenses during that round. Similarly, if \$800 were spent for ambulance services (which has a reference period of a year), it is not known if the person used an ambulance once or more than once during the year.

Following is a summary of other medical expense categories included in this file:

**Other medical events in file collected every round**

- Glasses and contact lenses

**Other medical events in file collected once a year**

- Ambulance services
- Orthopedic items (such as corrective shoes or inserts, braces, crutches, canes, walkers, wheelchairs, and scooters)
- Hearing devices (such as hearing aids, amplifiers for a telephone, adaptive speech equipment, and speech synthesizers)
- Prostheses (such as artificial limbs)
- Bathroom aids (such as portable commodes, raised toilet seats, portable tub seats, and handrails)
- Medical equipment (such as hospital beds, lifts, monitors, special chairs, oxygen, bed pans, adaptive feeding equipment, vaporizers or nebulizers, and blood pressure monitors)
- Disposable Supplies (such as ostomy supplies, bandages, dressings, tape, diapers, catheters, syringes, and IV supplies)
- Home alterations and modifications (such as ramps, handrails, elevators, and automobile modifications)
- Any other medical item

Records for purchases of insulin and diabetic supplies in a round were included in the Other Medical Expenses event files for 1996-2004. Beginning with the 2005 file, it was decided to exclude these records from the Other Medical Expenses event file since the expenditures have always been included on the Prescribed Medicines file. The Prescribed Medicines file is a more appropriate source for estimates of both utilization and expenditures for insulin and diabetic supplies. As a consequence, there are no records on this file where the variable OMTYPEX = 2 or 3 (the values used in 1996-2004 to identify records for purchases of insulin and diabetic supplies, respectively).

Data from this event file can be merged with other 2011 MEPS HC data files for the purpose of appending person-level data, such as demographic characteristics or health insurance coverage, to each other medical record.

This file can also be used to construct summary variables of expenditures, source of payment, and related aspects of the purchase of medical items. Aggregate annual person-level information on expenditures for other medical equipment is provided on the MEPS 2011 Full-Year Consolidated Data File where each record represents a MEPS sampled person. This aggregate information is provided for vision aids only and not other types of other medical equipment.

The following documentation offers a brief overview of the types and levels of data provided, and the content and structure of the files and the codebook. It contains the following sections:

- Data File Information
- Sample Weights
- Strategies for Estimation
- Merging/Linking MEPS Data Files
- References
- Variable - Source Crosswalk

For more information on MEPS HC survey design, see T. Ezzati-Rice, et al. (1998-2007) and S. Cohen, 1996. A copy of the MEPS HC survey instrument used to collect the information on the dental file is available on the MEPS Web site at the following address: [meps.ahrq.gov](http://meps.ahrq.gov).

## **2.0 Data File Information**

The 2011 Other Medical Expenses public use data set consists of one event-level data file. The file contains characteristics associated with the Other Medical event and imputed expenditure data.

The 2011 Other Medical Expenses public use data set contains 6,245 other medical (OM) expenditure records; of these records, 6,088 are associated with persons having a positive person-level weight (PERWT11F). This file includes records for all household members who resided in eligible responding households and were reported to have purchased or otherwise obtained at least one type of medical item such as medical equipment, glasses, hearing devices, etc. during calendar year 2011. Some persons may have been reported to have obtained more than one type of medical item and, therefore, have several records on this file. On the other hand, persons who were not reported to have obtained a medical item in 2011 have no records on this file. These data were collected during the 2011 portion of Round 3, and Rounds 4 and 5 for Panel 15, as well as Rounds 1, 2, and the 2011 portion of Round 3 for Panel 16 of the MEPS HC. The persons represented on this file had to meet either (a) or (b) below:

- a) Be classified as a key in-scope person who responded for his or her entire period of 2011 eligibility (i.e., persons with a positive 2011 full-year person-level weight (PERWT11F > 0)), or
- b) Be an eligible member of a family all of whose key in-scope members have a positive person-level weight (PERWT11F > 0). (Such a family consists of all persons with the same value for FAMIDYR.) That is, the person must have a positive full-year family-level weight (FAMWT11F > 0). Note that FAMIDYR and FAMWT11F are variables on the 2011 Population Characteristics file.

Persons with no other medical events for 2011 are not included on this event-level OM file but are represented on the person-level 2011 Full-Year Population Characteristics file.

Each record includes the following: type of medical item obtained, flat fee information, imputed sources of payment, total payment and total charge for the medical item, and a full-year person-level weight.

To append person-level information such as demographic or health insurance coverage to each event record, data from this file can be merged with 2011 MEPS HC person-level data (e.g. Full-Year Consolidated or Full-Year Population Characteristics files) using the person identifier, DUPERSID. Please see Section 5.0 for details on how to merge MEPS data files.

## 2.1 Codebook Structure

For each variable on the Other Medical Expenses event file, both weighted and unweighted frequencies are provided in the accompanying codebook. The codebook and data file sequence list variables in the following order:

- Unique person identifier
- Unique other medical expenses identifier
- Type of other medical expenses
- Imputed expenditure variables
- Weight and variance estimation variables

Note that the person identifier is unique within this data year.

## 2.2 Reserved Codes

The following reserved code values are used:

Value	Definition
-1 INAPPLICABLE	Question was not asked due to skip pattern
-7 REFUSED	Question was asked and respondent refused to answer question
-8 DK	Question was asked and respondent did not know answer
-9 NOT ASCERTAINED	Interviewer did not record the data

Generally, values of -1, -7, -8, and -9 for non-expenditure variables have not been edited on this file. The values of -1 and -9 can be edited by the data users/analysts by following the skip patterns in the HC survey questionnaire (located on the MEPS Web site: [meps.ahrq.gov/survey\\_comp/survey\\_questionnaires.jsp](http://meps.ahrq.gov/survey_comp/survey_questionnaires.jsp)).

## 2.3 Codebook Format

The codebook describes an ASCII data set (although the data are also being provided in a SAS transport file). The following codebook items are provided for each variable:

Identifier	Description
Name	Variable name (maximum of 8 characters)
Description	Variable descriptor (maximum of 40 characters)
Format	Number of bytes
Type	Type of data: numeric (indicated by NUM) or character (indicated by CHAR)

Start	Beginning column position of variable in record
End	Ending column position of variable in record

## 2.4 Variable Source and Naming Conventions

In general, variable names reflect the content of the variable, with an eight-character limitation. All imputed/edited variables end with an “X”.

### 2.4.1 Variable-Source Crosswalk

Variables were derived from the HC survey questionnaire or from the CAPI. The source of each variable is identified in Section D “Variable - Source Crosswalk” in one of four ways:

1. Variables derived from CAPI or assigned in sampling are so indicated as “CAPI derived” or “Assigned in sampling,” respectively;
2. Variables which come from one or more specific questions have those questionnaire sections and question numbers indicated in the “Source” column; questionnaire sections are identified as:

EV – Event Roster section  
 FF – Flat Fee section  
 CP – Charge Payment section

3. Variables constructed from multiple questions using complex algorithms are labeled “Constructed” in the “Source” column; and
4. Variables that have been edited or imputed are so indicated.

### 2.4.2 Expenditure and Source of Payment Variables

The names of the expenditure and source of payment variables follow a standard convention, are seven characters in length, and end in an “X” indicating edited/imputed. Please note that imputed means that a series of logical edits, as well as an imputation process to account for missing data, have been performed on the variable.

The total sum of payments and 12 source of payment variables are named in the following way:

The first two characters indicate the type of event:

IP - inpatient stay	OB - office-based visit
ER - emergency room visit	OP - outpatient visit
HH - home health visit	DV - dental visit
OM - other medical equipment	RX - prescribed medicine

In the case of the source of payment variables, the third and fourth characters indicate:

SF - self or family	OF - other Federal Government
MR - Medicare	SL - State/local government
MD - Medicaid	WC - Workers' Compensation
PV - private insurance	OT - other insurance
VA - Veterans Administration/CHAMPVA	OR - other private
TR - TRICARE	OU - other public
	XP - sum of payments

In addition, the total charge variable is indicated by TC in the variable name.

The fifth and sixth characters indicate the year (11). The seventh character, "X", indicates whether the variable is edited/imputed.

For example, OMSF11X is the edited/imputed amount paid by self or family for 2011 other medical equipment and expenditures.

## **2.5 File Contents**

### **2.5.1 Survey Administration Variables**

#### **2.5.1.1 Person Identifiers (DUID, PID, DUPERSID)**

The dwelling unit ID (DUID) is a five-digit random number assigned after the case was sampled for MEPS. The three-digit person number (PID) uniquely identifies each person within the dwelling unit. The eight-character variable DUPERSID uniquely identifies each person represented on the file and is the combination of the variables DUID and PID. For detailed information on dwelling units and families, please refer to the documentation for the 2011 Full-Year Population Characteristics File.

#### **2.5.1.2 Record Identifiers (EVNTIDX, FFEEIDX)**

EVNTIDX uniquely identifies each other medical expense event (i.e., each record on the OME file) and is the variable required to link other medical events to data files containing details on prescribed medicines (MEPS 2011 Prescribed Medicines File). For details on linking, see Section 5.0, or the MEPS 2011 Appendix File, HC-144I.

FFEEIDX is a constructed variable that uniquely identifies a flat fee group, that is, all events that were part of a flat fee payment. FFEEIDX identifies a flat fee payment that was identified using information from the Household Component.

#### **2.5.1.3 Round Indicator (EVENTRN)**

EVENTRN indicates the round in which the other medical event was reported. For most types of other medical expenditures on this file, data were collected only in Round 5 for Panel 15 and Round 3 for Panel 16; each record represents a summary of expenditures for items purchased or otherwise obtained for 2011. There is one exception:

Expenditure data for the purchase of glasses and/or contact lenses were collected in Rounds 3, 4, and 5 for Panel 15 and Rounds 1, 2, and 3 for Panel 16. For vision items purchased in Panel 16 Round 3, it could not be determined if the purchases occurred in 2011 or 2012. Therefore, records with expenses reported in Round 3 were only included if the number of purchases in 2011 was greater than or equal to the number of purchases in 2012.

#### **2.5.1.4 Panel Indicator (PANEL)**

PANEL is a constructed variable used to specify the panel number for the person. PANEL will indicate either Panel 15 or Panel 16 for each person on the file. Panel 15 is the panel that started in 2010, and Panel 16 is the panel that started in 2011.

#### **2.5.2 Other Medical Type Variables (OMTYPEX, OMTYPE, OMOTHOX, OMOTHOS)**

Other medical expenditures (OMTYPE) include glasses or contact lenses, ambulance services, orthopedic items, hearing devices, prostheses, bathroom aids, medical equipment, disposable supplies, and alterations/modifications (to homes). When the interviewer did not know how to categorize types of medical item expenditures, these items were specified in the variable OMOTHOS (OMTYPE other specify). As a part of the editing process, other medical expenditures identified in OMOTHOS have been edited to appropriate OMTYPE categories. The edited (OMTYPEX, OMOTHOX) and unedited (OMTYPE, OMOTHOS) versions of both of these variables are included on this file.

Records for purchases of insulin and diabetic supplies in a round were included in the Other Medical Expenses event files for 1996-2004. Beginning with the 2005 file, it was decided to exclude these records from the Other Medical Expenses event file since the expenditures have always been included on the Prescribed Medicines file. The Prescribed Medicines file is a more appropriate source for estimates of both utilization and expenditures for insulin and diabetic supplies. As a consequence, there are no records on this file where the variable OMTYPEX = 2 or 3 (the values used in 1996-2004 to identify records for purchases of insulin and diabetic supplies, respectively).

Other Medical Expenses Event File 1996-2004 (OMTYPEX)	Other Medical Expenses Event File 2005 and later (OMTYPEX)
1 = Glasses or Contact Lenses	1 = Glasses or Contact Lenses
2 = Insulin	2 = not used
3 = Diabetic Equipment/Supplies	3 = not used
4 = Ambulance Services	4 = Ambulance Services
5 = Orthopedic Items	5 = Orthopedic Items
6 = Hearing Devices	6 = Hearing Devices
7 = Prosthesis	7 = Prosthesis
8 = Bathroom Aids	8 = Bathroom Aids
9 = Medical Equipment	9 = Medical Equipment
10 = Disposable Supplies	10 = Disposable Supplies

11 = Alterations/modifications  
91 = Other

11 = Alterations/modifications  
91 = Other

## **2.5.3 Flat Fee Variables (FFEEIDX, FFOMTYPE, FFBEF11, FFTOT12)**

### **2.5.3.1 Definition of Flat Fee Payments**

A flat fee is the fixed dollar amount a person is charged for a package of services provided during a defined period of time. A flat fee group is the set of medical services that are covered under the same flat fee payment. The flat fee groups represented on the Other Medical Expenses event file include flat fee groups where at least one of the other medical events, as reported by the HC respondent, occurred during 2011. By definition, a flat fee group can span multiple years. Furthermore, a single person can have multiple flat fee groups.

### **2.5.3.2 Flat Fee Variable Descriptions**

#### **2.5.3.2.1 Flat Fee ID (FFEEIDX)**

As noted earlier in Section 2.5.1.2 “Record Identifiers,” the variable FFEEIDX uniquely identifies all events that are part of the same flat fee group for a person. On any 2011 MEPS event file, every event that is part of a specific flat fee group will have the same value for FFEEIDX. Note that prescribed medicine and home health events are never included in a flat fee group and none of the flat fee variables are on those event files.

#### **2.5.3.2.2 Flat Fee Type (FFOMTYPE)**

FFOMTYPE indicates whether the 2011 other medical expenditure is the “stem” or “leaf” of a flat fee group. A stem (records with FFOMTYPE = 1) is the initial other medical service event, which is followed by other medical expense events that are covered under the same flat fee payment. The leaves of the flat fee group (records with FFOMTYPE = 2) are those other medical events that are tied back to the initial event (the stem) in the flat fee group. These “leaf” records have their expenditure variables set to zero. For the other medical events that are not part of a flat fee payment, the FFOMTYPE is set to -1, “INAPPLICABLE”.

#### **2.5.3.2.3 Counts of Flat Fee Events that Cross Years (FFBEF11, FFTOT12)**

As described in Section 2.5.3.1, a flat fee payment covers multiple events and the multiple events could span multiple years. For situations where the medical item was obtained in 2011 as part of a group of events, and some of the events occurred before or after 2011, counts of the known events are provided on the other medical record.

Variables that indicate events occurring before or after 2011 are the following:

FFBEF11 – indicates total number of 2010 events in the same flat fee group as the medical item that was obtained in 2011. This count would not include the medical item obtained in 2011.

FFTOT12 – indicates the number of 2012 medical events, including the purchase of any additional medical items, expected to be in the same flat fee group as the medical item obtained in 2011.

If there are no 2010 events on the file, FFBEF11 will be omitted. Likewise, if there are no 2012 events on the file, FFTOT12 will be omitted. If there are no flat fee data related to the records in this file, FFEEIDX and FFOMTYPE will be omitted as well. Please note that the crosswalk in this document lists all possible flat fee variables.

### **2.5.3.3 Caveats of Flat Fee Groups**

Data users/analysts should note that flat fee payments are not common on the Other Medical Expenses file. There are 14 records that are identified as being part of a flat fee payment group. In general, every flat fee group should have an initial visit (stem) and at least one subsequent visit (leaf). There are some situations where this is not true. For some of these flat fee groups, the initial visit reported occurred in 2011, but the remaining visits that were part of this flat fee group occurred in 2012. In this case, the 2011 flat fee group represented on this file would consist of one event (the stem). The 2012 “leaf events” that are part of this flat fee group are not represented on the file. Similarly, the household respondent may have reported a flat fee group where the initial visit began in 2010 but subsequent visits occurred during 2011. In this case, the initial visit would not be represented on the file. This 2011 flat fee group would then only consist of one or more leaf records and no stem.

### **2.5.4 Condition, Procedure, and Clinical Classification Codes**

Conditions data are not collected for Other Medical events; therefore, this file cannot be linked to the Conditions File.

### **2.5.5 Expenditure Data**

#### **2.5.5.1 Definition of Expenditures**

Expenditures on this file refer to what is paid for the medical item. More specifically, expenditures in MEPS are defined as the sum of payments for each medical item that was obtained, including out-of-pocket payments and payments made by private insurance, Medicaid, Medicare and other sources. The definition of expenditures used in MEPS differs slightly from its predecessors: the 1987 NMES and 1977 NMCES surveys where “charges” rather than sum of payments were used to measure expenditures. This change was adopted because charges became a less appropriate proxy for medical expenditures during the 1990s due to the increasingly common practice of discounting. Although measuring expenditures as the sum of payments incorporates discounts in the MEPS expenditure estimates, these estimates do not incorporate any payment not directly tied to specific medical care events, such as bonuses or retrospective payment adjustments paid by third party payers. Another general change from the two prior surveys is that charges associated with uncollected liability, bad debt, and charitable care (unless provided by a public clinic or hospital) are not counted as expenditures because there are no payments associated with those classifications. While charge data are provided on this file, data users/analysts should use caution when working with these data because a charge does not typically represent actual dollars exchanged for services or the resource costs of those services,

nor are they directly comparable to the expenditures defined in the 1987 NMES. For details on expenditure definitions, please refer to the following, “Informing American Health Care Policy” (Monheit et al., 2000). AHRQ has developed factors to apply to the 1987 NMES expenditure data to facilitate longitudinal analysis. These factors can be accessed via the CFACT data center. For more information see the Data Center section of the MEPS Web site at [meps.ahrq.gov/data\\_stats/onsite\\_datacenter.jsp](http://meps.ahrq.gov/data_stats/onsite_datacenter.jsp). If examining trends in MEPS expenditures, please refer to Section C, sub-Section 3.3 for more information.

### **2.5.5.2 Data Editing and Imputation Methodologies of Expenditure Variables**

The general methodology used for editing and imputing expenditure data is described below. The MPC did not include either the dental events or other medical expenditures (such as glasses, contact lenses, and hearing devices). Therefore, although the general procedures remain the same for dental and other medical expenditures, editing and imputation methodologies were applied only to household-reported data. Please see below for details on the differences between these editing/imputation methodologies. Separate imputations were performed for flat fee and simple events, as well.

#### **2.5.5.2.1 General Data Editing Methodology**

Logical edits were used to resolve internal inconsistencies and other problems in the HC survey-reported data. The edits were designed to preserve partial payment data from households and providers, and to identify actual and potential sources of payment for each household-reported event. In general, these edits accounted for outliers, copayments or charges reported as total payments, and reimbursed amounts that were reported as out-of-pocket payments. In addition, edits were implemented to correct for misclassifications between Medicare and Medicaid, and between Medicare HMOs and private HMOs as payment sources. These edits produced a complete vector of expenditures for some events, and provided the starting point for imputing missing expenditures in the remaining events.

#### **2.5.5.2.2 Imputation Methodologies**

The predicted mean matching imputation method was used to impute missing expenditures. This procedure uses regression models (based on events with completely reported expenditure data) to predict total expenses for each event. Then, for each event with missing payment information, a donor event with the closest predicted payment with the same pattern of expected payment sources as the event with the missing payment was used to impute the missing payment value. The imputations for the flat fee events were carried out separately from the simple events.

A weighted sequential hot-deck procedure was used to impute the missing total charges. This procedure uses survey data from respondents to replace missing data, while taking into account the persons' weighted distribution in the imputation process.

#### **2.5.5.2.3 Other Medical Expenses Data Editing and Imputation**

Expenditures on other medical equipment and services were developed in a sequence of logical edits and imputations. The household edits were used to correct obvious errors in the reporting of expenditures, and to identify actual and potential sources of payments. Some of the edits were

global (i.e., applied to all events). Others were hierarchical and mutually exclusive. One of the more important edits separated flat fee events from simple events. This edit was necessary because groups of events covered by a flat fee (i.e., a flat fee bundle) were edited and imputed separately from individual events each covered by a single charge (i.e., simple events). Other medical services were imputed as flat fee events if the charges covered a package of health care services (e.g., optical), and all of the services were part of the same event type (i.e., a pure bundle). If a bundle contained any OM events with any other types of events, the services were treated as simple events in the imputations (See Section 2.5.3 for more detail on the definition and imputation of events in flat fee bundles.)

Logical edits were used to sort each event into a specific category for the imputations. Events with complete expenditures were flagged as potential donors for the hot-deck imputations, while events with missing expenditure data were assigned to various recipient categories. Each event with missing expenditure data was assigned to a recipient category based on the extent of its missing charge and expenditure data. For example, an event with a known total charge but no expenditure information was assigned to one category, while an event with a known total charge and partial expenditure information was assigned to a different category. Similarly, events without a known total charge and no or partial expenditure information were assigned to various recipient categories.

The logical edits produced nine recipient categories for events with missing data. Eight of the categories were for events with a common pattern of missing data and a primary payer other than Medicaid. Medicaid events were imputed separately because persons on Medicaid rarely know the provider's charge for services or the amount paid by the state Medicaid program. As a result, the total charge for Medicaid-covered services was imputed and discounted to reflect the amount that a state program might pay for the care.

Separate hot-deck imputations were used to impute missing data in each of the other eight recipient categories. The donor pool included "free events" because in some instances, providers are not paid for their services. These events represent charity care, bad debt, provider failure to bill, and third party payer restrictions on reimbursement in certain circumstances. If free events were excluded from the donor pool, total expenditures would be over-counted because the distribution of free events among complete events (donors) is not represented among incomplete events (recipients).

### **2.5.5.3 Imputation Flag Variable (IMPFLAG)**

IMPFLAG is a six-category variable that indicates if the event contains complete Household Component (HC) or Medical Provider Component (MPC) data, was fully or partially imputed, or was imputed in the capitated imputation process (for OP and MV events only). The following list identifies how the imputation flag is coded; the categories are mutually exclusive.

IMPFLAG = 0 not eligible for imputation (includes zeroed out and flat fee leaf events)

IMPFLAG = 1 complete HC data

IMPFLAG = 2 complete MPC data (not applicable to OM events)

IMPFLAG = 3 fully imputed

IMPFLAG = 4 partially imputed

IMPFLAG = 5 complete MPC data through capitation imputation (not applicable to OM events)

#### **2.5.5.4 Flat Fee Expenditures**

The approach used to count expenditures for flat fees was to place the expenditure on the first visit of the flat fee group. The remaining visits have zero payments. Thus, if the first visit in the flat fee group occurred prior to 2011, all of the events that occurred in 2011 will have zero payments. Conversely, if the first event in the flat fee group occurred at the end of 2011, the total expenditure for the entire flat fee group will be on that event, regardless of the number of events it covered after 2011. See Section 2.5.3 for details on the flat fee variables.

#### **2.5.5.5 Zero Expenditures**

Some respondents reported persons obtaining medical items where the payments were zero. This could occur for several reasons including (1) item or service was free, (2) bad debt was incurred, or (3) the item was covered under a flat fee arrangement beginning in an earlier year. If all of the medical events for a person fell into one of these categories, then the total annual expenditures for that person would be zero.

#### **2.5.5.6 Sources of Payment**

In addition to total expenditures, variables are provided which itemize expenditures according to major source of payment categories. These categories are:

1. Out-of-pocket by user (self) or family,
2. Medicare,
3. Medicaid,
4. Private Insurance,
5. Veterans Administration/CHAMPVA, excluding TRICARE,
6. TRICARE,
7. Other Federal sources - includes Indian Health Service, Military Treatment Facilities, and other care by the Federal government,
8. Other State and Local Source - includes community and neighborhood clinics, State and local health departments, and State programs other than Medicaid,
9. Workers' Compensation, and
10. Other Unclassified Sources - includes sources such as automobile, homeowner's, and liability insurances, and other miscellaneous or unknown sources.

Two additional source of payment variables were created to classify payments for events with apparent inconsistencies between insurance coverage and sources of payment based on data collected in the survey. These variables include:

11. Other Private - any type of private insurance payments reported for persons not reported to have any private health insurance coverage during the year as defined in MEPS, and
12. Other Public - Medicare/Medicaid payments reported for persons who were not reported to be enrolled in the Medicare/Medicaid program at any time during the year.

Though relatively small in magnitude, data users/analysts should exercise caution when interpreting the expenditures associated with these two additional sources of payment. While these payments stem from apparent inconsistent responses to health insurance and source of payment questions in the survey, some of these inconsistencies may have logical explanations. For example, private insurance coverage in MEPS is defined as having a major medical plan covering hospital and physician services. If a MEPS sampled person did not have such coverage but had a single service type insurance plan (e.g., dental insurance) that paid for a particular episode of care, those payments may be classified as “other private.” Some of the “other public” payments may stem from confusion between Medicaid and other state and local programs or may be from persons who were not enrolled in Medicaid, but were presumed eligible by a provider who ultimately received payments from the public payer.

#### **2.5.5.7 Other Medical Expenditure Variables (OMSF11X-OMTC11X)**

Other medical expenditure data were obtained only through the Household Component Survey. For cases with missing expenditure data, other medical expenditures were imputed using the procedures described above.

OMSF11X – OMOT11X are the 12 sources of payment. OMTC11X is the total charge, and OMXP11X is the sum of the 12 sources of payment for the other medical expenditures. The 12 sources of payment are: self/family (OMSF11X), Medicare (OMMR11X), Medicaid (OMMD11X), private insurance (OMPV11X), Veterans Administration/CHAMPVA (OMVA11X), TRICARE (OMTR11X), other Federal sources (OMOF11X), State and Local (non-federal) government sources (OMSL11X), Workers’ Compensation (OMWC11X), other private insurance (OMOR11X), other public insurance (OMOU11X), and other insurance (OMOT11X).

#### **2.5.5.8 Rounding**

Expenditure variables on the 2011 Other Medical event file have been rounded to the nearest penny. Person-level expenditure information released on the MEPS 2011 Full Year Consolidated File will be rounded to the nearest dollar. It should be noted that using the MEPS event files to create person-level totals will yield slightly different totals than those found on the consolidated file. These differences are due to rounding only. Moreover, in some instances, the number of persons having expenditures on the event files for a particular source of payment may differ from the number of persons with expenditures on the person-level expenditure file for that source of payment. This difference is also an artifact of rounding only. Please see the MEPS 2011 Appendix File, HC-144I, for details on rounding differences.

## **3.0 Sample Weight (PERWT11F)**

### **3.1 Overview**

There is a single full-year person-level weight (PERWT11F) assigned to each record for each key, in-scope person who responded to MEPS for the full period of time that he or she was in-scope during 2011. A key person was either a member of a responding NHIS household at the time of interview or joined a family associated with such a household after being out-of-scope at the time of the NHIS (the latter circumstance includes newborns as well as those returning from military service, an institution, or residence in a foreign country). A person is in-scope whenever he or she is a member of the civilian noninstitutionalized portion of the U.S. population.

### **3.2 Details on Person Weight Construction**

The person-level weight PERWT11F was developed in several stages. Person-level weights for Panel 15 and Panel 16 were created separately. The weighting process for each panel included an adjustment for nonresponse over time and calibration to independent population figures. The calibration was initially accomplished separately for each panel by raking the corresponding sample weights for those in-scope at the end of the calendar year to Current Population Survey (CPS) population estimates based on six variables. The six variables used in the establishment of the initial person-level control figures were: census region (Northeast, Midwest, South, West); MSA status (MSA, non-MSA); race/ethnicity (Hispanic; Black, non-Hispanic; Asian, non-Hispanic; and other); sex; education level; and age. A 2011 composite weight was then formed by multiplying each weight from Panel 15 by the factor .43 and each weight from Panel 16 by the factor .57. The choice of factors reflected the relative sample sizes of the two panels, helping to limit the variance of estimates obtained from pooling the two samples. The composite weight was again raked to the same set of CPS-based control totals. When poverty status information derived from income variables became available, a final raking was undertaken on the previously established weight variable. Control totals were established using poverty status (five categories: below poverty, from 100 to 125 percent of poverty, from 125 to 200 percent of poverty, from 200 to 400 percent of poverty, at least 400 percent of poverty) to replace education level as one of the six variables in the weight calibration.

#### **3.2.1 MEPS Panel 15 Weight**

The person-level weight for MEPS Panel 15 was developed using the 2010 full-year weight for an individual as a “base” weight for survey participants present in 2010. For key, in-scope members who joined an RU some time in 2011 after being out-of-scope in 2010, the initially assigned person-level weight was the corresponding 2010 family weight. The weighting process included an adjustment for person-level nonresponse over Rounds 4 and 5 as well as raking to population control totals for December 2011 for key, responding persons in-scope on December 31, 2011.. These control figures were derived by scaling back the population distribution obtained from the March 2012 CPS to reflect the December 31, 2011 estimated population total (estimated based on Census projections for January 1, 2011). Variables used for person-level raking included: census region (Northeast, Midwest, South, West); MSA status (MSA, non-MSA); race/ethnicity (Hispanic, Black non-Hispanic, Asian non-Hispanic, and other); sex; education level; and age. (Poverty status is not included in this version of the MEPS full year

database because of the time required to process the income data collected and then assign persons to a poverty status category). The final weight for key, responding persons who were not in-scope on December 31, 2011 but were in-scope earlier in the year was the person weight after the nonresponse adjustment.

It may be noted that the Panel 15 weights reflect additional adjustments not typically implemented in MEPS weights. Additional raking dimensions were added when PERWT10F was being developed, reflecting MEPS 2008-09 estimated average annual distributions of office-based visits and care from home health agencies by age. More details can be found in the MEPS PUF documentation for the Full Year 2010 Consolidated File (HC-138).

### **3.2.2 MEPS Panel 16 Weight**

The person-level weight for MEPS Panel 16 was developed using the 2011 MEPS Round 1 person-level weight as a “base” weight. For key, in-scope members who joined an RU after Round 1, the Round 1 family weight served as a “base” weight. The weighting process included an adjustment for nonresponse over the remaining data collection rounds in 2011 as well as raking to the same population control figures for December 2011 used for the MEPS Panel 15 weights for key, responding persons in-scope on December 31, 2011. The same six variables employed for Panel 15 raking (census region, MSA status, race/ethnicity, sex, education level, and age) were used for Panel 16 raking. Again, the final weight for key, responding persons who were not in-scope on December 31, 2011 but were in-scope earlier in the year was the person weight after the nonresponse adjustment.

Note that the MEPS Round 1 weights for both panels incorporated the following components: a weight reflecting the original household probability of selection for the NHIS and an adjustment for NHIS nonresponse; a factor representing the proportion of the 16 NHIS panel-quarter combinations eligible for MEPS; the oversampling of certain subgroups for MEPS among the NHIS household respondents eligible for MEPS; ratio-adjustment to NHIS-based national population estimates at the household (occupied DU) level; adjustment for nonresponse at the DU level for Round 1; and poststratification to U.S. civilian noninstitutionalized population estimates at the family and person level obtained from the March CPS database. It may be noted that there were several new features to the MEPS sample design employed for Panel 16 that were reflected in the Panel 16 weight: a sampling domain associated with those with cancer; a partitioning of the “Other” sample domain into those who fully completed the NHIS survey and those who only partially completed it; and a small experiment conducted in 11 PSUs, where some nonrespondents were subsampled for fielding purposes. More details can be found in the MEPS PUF documentation for the Full Year 2011 Population Characteristics File (HC-141).

### **3.2.3 The Final Weight for 2011**

The final raking of those in-scope at the end of the year has been described above. In addition, the composite weights of two groups of persons who were out-of-scope on December 31, 2011 were poststratified. Specifically, the weights of those who were in-scope some time during the year, out-of-scope on December 31, and entered a nursing home during the year were poststratified to a corresponding control total obtained from the 1996 MEPS Nursing Home Component. Those who died while in-scope during 2011 were poststratified to corresponding

estimates derived using data obtained from the Medicare Current Beneficiary Survey (MCBS) and Vital Statistics information provided by the National Center for Health Statistics (NCHS). Separate decedent control totals were developed for the “65 and older” and “under 65” civilian noninstitutionalized populations.

In developing the final person-level weight for 2011 (PERWT11F), an additional raking dimension was added that reflected the MEPS 2008-10 estimated average annual distribution of office-based visits by age (under 65, 65 and over). This additional adjustment was included to better reflect benchmark trends in office-based utilization. For each of the two age groups, the table below shows ratios of weighted numbers of persons that resulted from including the additional raking dimension to that of corresponding estimates without the additional raking dimensions.

**Ratio of Adjusted to Unadjusted Weights**

<b>Number of Visits</b>	<b>Nonelderly (AGE11X &lt; 65)</b>	<b>Elderly (AGE11X ≥ 65)</b>
0	0.89819	0.81783
1-5	1.01544	0.91486
6-10	1.10139	1.03666
> 10	1.18939	1.15433

Overall, the weighted population estimate for the civilian noninstitutionalized population for December 31, 2011 is 307,567,803 (PERWT11F>0 and INSC1231=1). The sum of person-level weights across all persons assigned a positive person-level weight is 311,125,758. The 2011 Full Year database is the first MEPS file to reflect 2010 census-based population estimates from the CPS.

**3.2.4 Coverage**

The target population for MEPS in this file is the 2011 U.S. civilian noninstitutionalized population. However, the MEPS sampled households are a subsample of the NHIS households interviewed in 2009 (Panel 15) and 2010 (Panel 16). New households created after the NHIS interviews for the respective Panels and consisting exclusively of persons who entered the target population after 2009 (Panel 15) or after 2010 (Panel 16) are not covered by MEPS. Neither are previously out-of-scope persons who join an existing household but are unrelated to the current household residents. Persons not covered by a given MEPS panel thus include some members of the following groups: immigrants; persons leaving the military; U.S. citizens returning from residence in another country; and persons leaving institutions. The set of uncovered persons constitutes only a small segment of the MEPS target population.

**3.3 Using MEPS Data for Trend Analysis**

MEPS began in 1996, and the utility of the survey for analyzing health care trends expands with each additional year of data. However, it is important to consider a variety of factors when examining trends over time using MEPS. Statistical significance tests should be conducted to

assess the likelihood that observed trends may be attributable to sampling variation. The length of time being analyzed should also be considered. In particular, large shifts in survey estimates over short periods of time (e.g. from one year to the next) that are statistically significant should be interpreted with caution, unless they are attributable to known factors such as changes in public policy, economic conditions, or MEPS survey methodology. Looking at changes over longer periods of time can provide a more complete picture of underlying trends. Analysts may wish to consider using techniques to evaluate, smooth, or stabilize analyses of trends using MEPS data such as comparing pooled time periods (e.g. 1996-97 versus 2010-11), working with moving averages, or using modeling techniques with several consecutive years of MEPS data to test the fit of specified patterns over time. Moreover, analyses of trends in health care utilization should be undertaken with awareness of relevant adjustments to the analytic weight (e.g., see section 3.2.3 on the Final Person-Level Weight for 2011). Finally, researchers should be aware of the impact of multiple comparisons on Type I error. Without making appropriate allowance for multiple comparisons, undertaking numerous statistical significance tests of trends increases the likelihood of concluding that a change has taken place when one has not.

#### **4.0 Strategies for Estimation**

This file is constructed for estimation of utilization, expenditures, and sources of payment for other medical expenditures and to allow for estimates for the number of persons who obtained medical items in 2011.

#### **4.1 Basic Estimates of Utilization, Expenditures, and Sources of Payment**

In contrast to the other types of event files, the unit and/or period of time covered are not consistent across all records within this file. More specifically, this file contains round-specific expenditure data on purchases of eyeglasses or contact lenses and annual data on certain other types of medical equipment, supplies, and services (see description below and OMTYPEX variable in codebook for more details). Data are not collected on the actual number of purchases of the items/services represented on this file, so it is not possible to estimate the average expenditure per unit of service.

##### **4.1.1 Type of Records on File (OMTYPEX)**

Records for purchases of insulin and diabetic supplies were included in the Other Medical Expenses event files for 1996-2004. Beginning with the 2005 file, these records were excluded from the Other Medical Expenses event file since the expenditures have always been included on the Prescribed Medicines file. The Prescribed Medicines file is a more appropriate source for estimates of both utilization and expenditures for insulin and diabetic supplies. As a consequence, there are no records on this file where the variable OMTYPEX = 2 or 3 (the values used in 1996-2004 to identify records for purchases of insulin and diabetic supplies, respectively).

*Eyeglasses and contact lenses:* Each record on this file where OMTYPEX = 1 contains information on total expenditures during a specific round for eyeglasses and/or contact lenses (a maximum of 3 records for a sample person). Variables for annual expenditure data for

eyeglasses/contact lenses (obtained by cumulating across round specific data in this file) are included on the annual Full-Year Consolidated File.

*Other medical equipment, supplies and services:* Each of the records in this file where OMTYPEX does not equal 1 contains person-specific information on annual expenditures for a specific category of medical equipment and supplies asked about in the survey. Estimates of the total number of persons with expenditures for an item during the year are the sum of the weight variable (PERWT11F) across relevant records (e.g., for ambulance services, records where OMTYPEX = 4). Estimates of expenditure variables must be weighted by PERWT11F to be nationally representative. For example, the estimate for the total expenditures for ambulance services paid out of pocket is produced by summing the product of the variables PERWT11F and OMSF11X across all the events in the file where OMTYPEX = 4 as follows (the subscript ‘j’ identifies each event and represents a numbering of events from 1 through the total number of events in the file):

$\sum W_j X_j$ , where

$W_j = \text{PERWT11F}_j$  (full-year weight for the person associated with event j) and  
 $X_j = \text{OMSF11X}_j$  (amount paid by self/family for event j) where OMTYPEX = 4.

The estimate for the total annual expenditures for ambulance services paid out of pocket per person with that type of expense is produced as follows (the subscript ‘j’ identifies each event and represents a numbering of events from 1 through the total number of events in the file):

$\sum W_j X_j / \sum W_j$ , where

$W_j = \text{PERWT11F}_j$  (full-year weight for the person associated with event j) and  
 $X_j = \text{OMSF11X}_j$  (amount paid by self/family for event j) where OMTYPEX = 4.

This type of estimate and corresponding standard error (SE) can be derived using an appropriate computer software package for complex survey analysis such as SAS, Stata, SUDAAN or SPSS ([meps.ahrq.gov/survey\\_comp/standard\\_errors.jsp](https://meps.ahrq.gov/survey_comp/standard_errors.jsp)). Variables are contained on the full-year annual file for aggregate expenditures across all of these types of services/items (OMTYPEX = 4-11 or 91), but it is necessary to use this file to produce an annual estimate for a specific category of service. Small sample sizes make it advisable to pool multiple years of MEPS data to produce statistically reliable estimates for some of the items.

#### 4.2 Variables with Missing Values

It is essential that the analyst examine all variables for the presence of negative values used to represent missing values. For continuous or discrete variables, where means or totals may be taken, it may be necessary to set negative values to values appropriate to the analytic needs. That is, the analyst should either impute a value or set the value to one that will be interpreted as missing by the computing language used. For categorical and dichotomous variables, the analyst

may want to consider whether to recode or impute a value for cases with negative values or whether to exclude or include such cases in the numerator and/or denominator when calculating proportions.

Methodologies used for the editing/imputation of expenditure variables (e.g., source of payment, flat fee, and zero expenditures) are described in Section 2.5.5.2.

### **4.3 Variance Estimation (VARPSU, VARSTR)**

The MEPS has a complex sample design. To obtain estimates of variability (such as the standard error of sample estimates or corresponding confidence intervals) for MEPS estimates, analysts need to take into account the complex sample design of MEPS for both person-level and family-level analyses. Several methodologies have been developed for estimating standard errors for surveys with a complex sample design, including the Taylor-series linearization method, balanced repeated replication, and jackknife replication. Various software packages provide analysts with the capability of implementing these methodologies. Replicate weights have not been developed for the MEPS data. Instead, the variables needed to calculate appropriate standard errors based on the Taylor-series linearization method are included on this file as well as all other MEPS public use files. Software packages that permit the use of the Taylor-series linearization method include SUDAAN, Stata, SAS (version 8.2 and higher), and SPSS (version 12.0 and higher). For complete information on the capabilities of each package, analysts should refer to the corresponding software user documentation.

Using the Taylor-series linearization method, variance estimation strata and the variance estimation PSUs within these strata must be specified. The variables VARSTR and VARPSU on this MEPS data file serve to identify the sampling strata and primary sampling units required by the variance estimation programs. Specifying a “with replacement” design in one of the previously mentioned computer software packages will provide estimated standard errors appropriate for assessing the variability of MEPS survey estimates. It should be noted that the number of degrees of freedom associated with estimates of variability indicated by such a package may not appropriately reflect the number available. For variables of interest distributed throughout the country (and thus the MEPS sample PSUs), one can generally expect to have at least 100 degrees of freedom associated with the estimated standard errors for national estimates based on this MEPS database.

Prior to 2002, MEPS variance strata and PSUs were developed independently from year to year, and the last two characters of the strata and PSU variable names denoted the rounds. However, beginning with the 2002 Point-in-Time PUF, the variance strata and PSUs were developed to be compatible with all future PUFs until the NHIS design changed. Thus, when pooling data across years 2002 through the Panel 11 component of the 2007 files, the variance strata and PSU variables provided can be used without modification for variance estimation purposes for estimates covering multiple years of data. There were 203 variance estimation strata, each stratum with either two or three variance estimation PSUs.

From Panel 12 of the 2007 files, a new set of variance strata and PSUs were developed because of the introduction of a new NHIS design. There are 165 variance strata with either two or three variance estimation PSUs per stratum, starting from Panel 12. Therefore, there are a total of 268

(203+165) variance strata in the 2007 Full Year file as it consists of two panels that were selected under two independent NHIS sample designs. Since both MEPS panels in the Full Year 2008 file and beyond are based on the new NHIS design, there are only 165 variance strata. These variance strata (VARSTR values) have been numbered from 1001 to 1165 so that they can be readily distinguished from those developed under the former NHIS sample design in the event that data are pooled for several years.

If analyses call for pooling MEPS data across several years, in order to ensure that variance strata are identified appropriately for variance estimation purposes, one can proceed as follows:

1. When pooling any year from 2002 or later, one can use the variance strata numbering as is.
2. When pooling any year from 1996 to 2001 with any year from 2002 or later, use the H36 file.
3. A new H36 file will be constructed in the future to allow pooling of 2007 and later years with 1996 to 2006.

## **5.0 Merging/Linking MEPS Data Files**

Data from this file can be used alone or in conjunction with other files for different analytic purposes. This section summarizes various scenarios for merging/linking MEPS event files. Each MEPS panel can also be linked back to the previous years' National Health Interview Survey public use data files. For information on obtaining MEPS/NHIS link files please see [meps.ahrq.gov/data\\_stats/more\\_info\\_download\\_data\\_files.jsp](http://meps.ahrq.gov/data_stats/more_info_download_data_files.jsp).

### **5.1 Linking to the Person-Level File**

Merging characteristics of interest from other MEPS files (e.g., 2011 Full-Year Consolidated File or 2011 Prescribed Medicines) expands the scope of potential estimates. For example, to estimate the expenditures for medical equipment, visual aids, etc. for persons with specific demographic characteristics (such as age, race, and sex), population characteristics from a person-level file need to be merged onto the Other Medical Expenses event file. This procedure is shown below. The MEPS 2011 Appendix File, HC-144I, provides additional details on how to merge other MEPS data files.

1. Create data set PERSX by sorting the 2011 Full-Year Consolidated File, by the person identifier, DUPERSID. Keep only variables to be merged onto the other medical events file and DUPERSID.
2. Create data set OMEXP by sorting the other medical event file by person identifier, DUPERSID.
3. Create final data set NEWOME by merging these two files by DUPERSID, keeping only records on the other medical event file.

The following is an example of SAS code which completes these steps:

```
PROC SORT DATA=HCXXX (KEEP=DUPERSID AGE31X AGE42X
AGE53X SEX RACEX EDUCYR EDUYRDEG EDRECODE) OUT=PERSX;
  BY DUPERSID;
RUN;

PROC SORT DATA=OMEXP;
  BY DUPERSID;
RUN;

DATA NEWOME;
  MERGE OMEXP (IN=A) PERSX (IN=B);
  BY DUPERSID;
  IF A;
RUN;
```

## **5.2 Linking to the Prescribed Medicines File**

The RXLK file provides a link from the MEPS event files to the 2011 Prescribed Medicine Event File. When using RXLK, data users/analysts should keep in mind that one other medical record can link to more than one prescribed medicine record. Conversely, a prescribed medicine event may link to more than one other medical record. When this occurs, it is up to the data user/analyst to determine how the prescribed medicine expenditures should be allocated among those medical events. For detailed linking examples, including SAS code, data users/analysts should refer to the MEPS 2011 Appendix File, HC-144I.

## **5.3 Linking to the Medical Conditions File**

Conditions data are not collected for Other Medical events; therefore, this file cannot be linked to the Conditions File.

## References

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## **D. Variable-Source Crosswalk**

## VARIABLE-SOURCE CROSSWALK

### FOR MEPS HC-144C: 2011 OTHER MEDICAL EXPENSES

#### Survey Administration Variables

<b>Variable</b>	<b>Description</b>	<b>Source</b>
DUID	Dwelling unit ID	Assigned in sampling
PID	Person number	Assigned in sampling
DUPERSID	Person ID (DUID + PID)	Assigned in sampling
EVNTIDX	Event ID	Assigned in Sampling
EVENTRN	Event round number	CAPI derived
FFEEIDX	Flat fee ID	CAPI derived
PANEL	Panel number	Constructed

#### Other Medical Events Variables

<b>Variable</b>	<b>Description</b>	<b>Source</b>
OMTYPEX	Other medical expense type – edited	EV03 (edited)
OMTYPE	Other medical expense type	EV03
OMOTHOX	OMTYPE other specify – edited	EV03A (edited)
OMOTHOS	OMTYPE other specify	EV03A

#### Flat Fee Variables

<b>Variable</b>	<b>Description</b>	<b>Source</b>
FFOMTYPE	Flat Fee Bundle	Constructed
FFBEF11	Total # of visits in FF before 2011	FF05
FFTOT12	Total # of visits in FF after 2011	FF10

### Imputed Expenditure Variables

<b>Variable</b>	<b>Description</b>	<b>Source</b>
OMSF11X	Amount paid, family (Imputed)	CP Section (Edited)
OMMR11X	Amount paid, Medicare (Imputed)	CP Section (Edited)
OMMD11X	Amount paid, Medicaid (Imputed)	CP Section (Edited)
OMPV11X	Amount paid, private insurance (Imputed)	CP Section (Edited)
OMVA11X	Amount paid, Veterans/CHAMPVA (Imputed)	CP Section (Edited)
OMTR11X	Amount paid, TRICARE (Imputed)	CP Section (Edited)
OMOF11X	Amount paid, other federal (Imputed)	CP Section (Edited)
OMSL11X	Amount paid, state & local government (Imputed)	CP Section (Edited)
OMWC11X	Amount paid, workers' compensation (Imputed)	CP Section (Edited)
OMOR11X	Amount paid, other private insurance (Imputed)	Constructed
OMOU11X	Amount paid, other public insurance (Imputed)	Constructed
OMOT11X	Amount paid, other insurance (Imputed)	CP Section (Edited)
OMXP11X	Sum of OMSF11X–OMOT11X (Imputed)	Constructed
OMTC11X	Household reported total charge (Imputed)	CP Section (Edited)
IMPFLAG	Imputation status	Constructed

### Weights

<b>Variable</b>	<b>Description</b>	<b>Source</b>
PERWT11F	Expenditure file person weight, 2011	Constructed
VARSTR	Variance estimation stratum, 2011	Constructed
VARPSU	Variance estimation PSU, 2011	Constructed